



JC03 Rec'd FEB 1 11 2002

1.5 JAN 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: HARALD ROSE ET AL. ART UNIT:  
SERIAL NO.: 10/018,904 EXAMINER:  
FILED: CONCURRENTLY HERewith  
P.C.T. APPLICATION NO.: PCT/DE00/02797  
P.C.T. INTERNATIONAL FILING DATE: AUGUST 16, 2000  
EARLIEST PRIORITY CLAIMED: SEPTEMBER 18, 1999  
U.S. NATIONAL FEE PAID: DECEMBER 17, 2001  
TITLE: ELECTRON-OPTICAL LENS ARRANGEMENT WITH AN AXIS THAT  
CAN BE LARGELY DISPLACED  
SUBMISSION OF DECLARATION, SMALL ENTITY STATEMENTS,  
AND REQUEST FOR REFUND UNDER 37 C.F.R. §1.28(a)

Hon. Commissioner for Patents  
United States Patent and Trademark Office  
Box PCT  
Washington, D. C. 20231

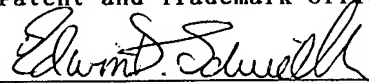
Attn: DO/EO/US

Dear Sir:

Applicants in the above-identified patent application  
hereby submit the following documents:

"Express Mail" mailing label number ET 670104318 US  
Date of Deposit January 15, 2002

I hereby certify that this paper is being deposited with the  
U.S. Postal Service "Express Mail - Post Office to Addressee"  
service under 37 C.F.R. §1.10 on the date indicated above and  
is addressed to: Hon. Commissioner for Patents, United States  
Patent and Trademark Office, Washington, D. C. 20231.

  
Edwin D. Schindler, Reg. No. 31,459

January 15, 2002  
Date

1. Declaration/Power of Attorney executed by Applicants:
2. Three (3) Small Entity Statements; and,
3. Request for Refund under 37 C.F.R. §1.28(a).

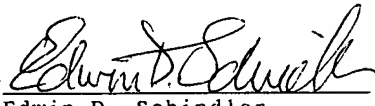
No Surcharge is Due

No surcharge is due for the submission of the Declaration at this time, since the 30th-month deadline does not expire until March 18, 2002. The Declaration is, therefore, timely without payment of any surcharge.

Authorization is also given to charge Applicants' Attorney's Deposit Account, Account No. 19-0450, for any additional fees or costs which may be due in connection with the prosecution of the above-identified application, but which have not otherwise been provided for. A duplicate copy of this paper is enclosed for billing purposes.

Respectfully submitted,

HARALD ROSE ET AL.

By   
Edwin D. Schindler  
Attorney for Applicants  
Reg. No. 31,459

Five Hirsch Avenue  
P. O. Box 966  
Coram, New York 11727-09066

(631)474-5373

January 15, 2002

1000 PCT/DE00/02797 15 JAN 2002



*[Handwritten signature]*

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: HARALD ROSE ET AL. ART UNIT:  
SERIAL NO.: 10/018,904 EXAMINER:  
FILED: CONCURRENTLY HEREWITH  
P.C.T. APPLICATION NO.: PCT/DE00/02797  
P.C.T. INTERNATIONAL FILING DATE: AUGUST 16, 2000  
EARLIEST PRIORITY CLAIMED: SEPTEMBER 18, 1999  
U.S. NATIONAL FEE PAID: DECEMBER 17, 2001  
TITLE: ELECTRON-OPTICAL LENS ARRANGEMENT WITH AN AXIS THAT  
CAN BE LARGELY DISPLACED

REQUEST FOR REFUND UNDER 37 C.F.R. §1.28(a)

Hon. Commissioner for Patents  
United States Patent and Trademark Office  
Box PCT  
Washington, D. C. 20231

Dear Sir:

On December 17, 2001, prior to the 30th-month deadline from the claimed priority date (filed under P.C.T. Chapter II), Applicant remitted the large-entity U.S. National Fee of \$890.00, to cover the Basic U.S. National Fee.

"Express Mail" mailing label number ET 670104318 US  
Date of Deposit January 15, 2002

I hereby certify that this paper is being deposited with the U.S. Postal Service "Express Mail - Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Hon. Commissioner for Patents, United States Patent and Trademark Office, Washington, D. C. 20231.

*[Handwritten signature of Edwin D. Schindler]*  
Edwin D. Schindler, Reg. No. 31,459

January 15, 2002  
Date

Accompanying the present submission, are three (3) Small Entity Statements for the purpose of allowing Applicants to establish a claim to Small Entity status for the purpose of the payment of certain fees in the U.S. Patent and Trademark Office.

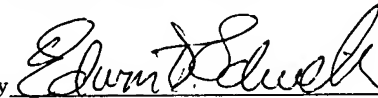
In view of the concurrent submission of the accompanying Small Entity Statements, under the provisions of 37 C.F.R. §1.28(a), Applicants respectfully request a refund of \$445.00, or one-half of the U.S. National Fee paid on December 17, 2001.

The Office is requested to credit said refund to the Deposit Account of Applicants' Attorney, Account No. 19-0450. A duplicate of this paper is enclosed for billing purposes.

Respectfully submitted,

HARALD ROSE ET AL.

By



Edwin D. Schindler  
Attorney for Applicants  
Reg. No. 31,459

Five Hirsch Avenue  
P. O. Box 966  
Coram, New York 11727-09066

(631)474-5373

January 15, 2002